



- 1 -

Attorney Docket No. _____

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
DECLARATION FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that I verily believe that I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Thin Film Magnetic Recording Media

the specification of which

(check one)

- ☐ is attached hereto.
- ☐ was filed on 11 February 2004
as U.S. Application Serial No. 10/777,018.
- ☐ was filed on
as PCT International Application No. PCT / _____.

and (if applicable) was amended on _____.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information known to me which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §§ 1.56(a) and (b), which state:

"(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practised or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) prior art cited in search reports of a foreign patent office in a counterpart application,
- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
 - (2) It refutes, or is inconsistent with, a position the applicant takes in:
 - (i) Opposing an argument of unpatentability relied on by the Office, or
 - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability."

I hereby claim foreign priority benefits under 35 United States Code, § 119 and/or § 365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate filed by me or my assignee disclosing the subject matter claimed in this application and having a filing date (1) before that of the application on which priority is claimed, or (2) if no priority claimed, before the filing of this application:

PRIOR FOREIGN APPLICATION(S)

<u>Number</u>	<u>Country</u>	<u>Filing Date</u> <i>(Day/Month/Year)</i>	<u>Date First</u> <u>Laid-open or</u> <u>Published</u>	<u>Date</u> <u>Patented</u> <u>or Granted</u>	<u>Priority</u> <u>Claimed?</u>
200300519-6	Singapore	11 February 2003	N/A	N/A	N/A

I hereby claim the benefit under 35 United States Code, § 119(e) of any United States provisional application(s) listed below:

<u>Application Number</u>	<u>Filing Date</u>
---------------------------	--------------------

N/A

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56(a) which became available between the filing date of the prior application and the national or PCT international filing date of this application:

PRIOR U.S. OR PCT APPLICATION(S)

<u>Application No.</u>	<u>Filing Date</u> <i>(day/month/year)</i>	<u>Status</u> <i>(pending, abandoned, granted)</i>
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N/A

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such wilful false statements may jeopardize the validity of the application or any patent issued thereon.

1) INVENTOR'S SIGNATURE: Chen Jingsheng Date: 6/2/2004

Inventor's Name: Jingsheng CHEN
(First) (Middle Initial) (Family Name)

Country of Citizenship: P. R. China

Residence: Singapore
(City, Province, Country)

Post Office Address: BLK 845, #08-227, Jurong West St 81, Singapore 640845

2) INVENTOR'S SIGNATURE: _____ Date: _____

Inventor's Name: Jian-Ping WANG
(First) (Middle Initial) (Family Name)

Country of Citizenship: Singaporean

Residence: US
(City, Province, Country)

Post Office Address: Pillsbury Court, 1053, 5th St. SE, Minneapolis MN55414, U. S.



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(2) It refutes, or is inconsistent with, a position the applicant takes in:

(i) Opposing an argument of unpatentability relied on by the Office, or

(ii) Asserting an argument of patentability.

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<u>Number</u>	<u>Country</u>	<u>Filing Date</u> <u>(Day/Month/Year)</u>	<u>Date First</u> <u>Laid-open or</u> <u>Published</u>	<u>Date</u> <u>Patented</u> <u>or Granted</u>	<u>Priority</u> <u>Claimed?</u>
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<u>Application No.</u>	<u>Filing Date</u> <u>(day/month/year)</u>	<u>Status</u> <u>(pending, abandoned, granted)</u>
		N/A

N/A

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1) INVENTOR'S SIGNATURE: _____ Date: _____

Inventor's Name: Jingsheng CHEN
(First) (Middle Initial) (Family Name)

Country of Citizenship: P. R. China

Residence: Singapore
(City, Province, Country)

Post Office Address: BLK 845, #08-227, Jurong West St 81, Singapore 640845

2) INVENTOR'S SIGNATURE:  _____ Date: Feb. 17, 2004

Inventor's Name: Jian-Ping WANG
(First) (Middle Initial) (Family Name)

Country of Citizenship: Singaporean

Residence: US
(City, Province, Country)

Post Office Address: Pillsbury Court, 1053, 5th St. SE, Minneapolis MN55414, U. S.

ASSIGNMENT

WHEREAS, we, CHEN Jingsheng; WANG Jian-Ping have invented Thin Film Magnetic Recording Media for which application for a patent is about to be filed in the United States;

AND WHEREAS, Agency for Science, Technology And Research, whose full post office address is 20 Biopolis Way, #07-01 Centros, Singapore 138668, is desirous of acquiring an interest therein;

AND WHEREAS, the serial number and filing date of the U.S. application, when assigned, should be entered here following:

Serial No.: 10/777,018

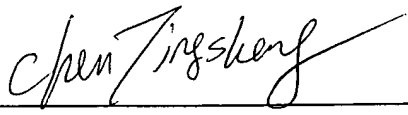
Filing Date: 11 February 2004

NOW THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we, CHEN Jingsheng; WANG Jian-Ping, by these presents do sell, assign and transfer, and do hereby confirm the sale, assignment and transfer, unto Agency for Science, Technology And Research the full and exclusive right for the United States of America and all other countries foreign thereto, in and to the said invention, as described in the said application, the declaration for which is being signed concurrently herewith; said invention, said application, any other applications for Letters Patent for said invention, and all Letters Patent issuing from such applications, to be held and enjoyed by the said Agency for Science, Technology And Research, for their own use and behoof, and for their legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by us had this assignment and sale not been made.

We, CHEN Jingsheng; WANG Jian-Ping, undertake to sign such further documents to effect the aforesaid sale, transfer and assignment as may be required from time to time, without reimbursement, but at the expense of Agency for Science, Technology And Research.

The serial number and filing date of the said application not yet having been assigned, we, CHEN Jingsheng; WANG Jian-Ping, hereby authorize the entry of the serial number and filing date in the recitations of this assignment for the purposes of identification.

WITNESS my hand at Data storage Institute, this 6 day of Feb., 2004.



CHEN Jingsheng

WITNESS my hand at _____, this _____ day of _____, 2004.

WANG Jian-Ping

ASSIGNMENT

WHEREAS, we, CHEN Jingsheng; WANG Jian-Ping have invented Thin Film Magnetic Recording Media for which application for a patent is about to be filed in the United States;

AND WHEREAS, Agency for Science, Technology And Research, whose full post office address is 20 Biopolis Way, #07-01 Centros, Singapore 138668, is desirous of acquiring an interest therein;

AND WHEREAS, the serial number and filing date of the U.S. application, when assigned, should be entered here following: - - - - -

Serial No.: 10/777,018
Filing Date: 11 FEBRUARY 2004

NOW THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we, CHEN Jingsheng; WANG Jian-Ping, by these presents do sell, assign and transfer, and do hereby confirm the sale, assignment and transfer, unto Agency for Science, Technology And Research the full and exclusive right for the United States of America and all other countries foreign thereto, in and to the said invention, as described in the said application, the declaration for which is being signed concurrently herewith; said invention, said application, any other applications for Letters Patent for said invention, and all Letters Patent issuing from such applications, to be held and enjoyed by the said Agency for Science, Technology And Research, for their own use and behoof, and for their legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by us had this assignment and sale not been made.

We, CHEN Jingsheng; WANG Jian-Ping, undertake to sign such further documents to effect the aforesaid sale, transfer and assignment as may be required from time to time, without reimbursement, but at the expense of Agency for Science, Technology And Research.

The serial number and filing date of the said application not yet having been assigned, we, CHEN Jingsheng; WANG Jian-Ping, hereby authorize the entry of the serial number and filing date in the recitations of this assignment for the purposes of identification.

WITNESS my hand at _____, this _____ day of _____, 2004.

CHEN Jingsheng

WITNESS my hand at University of Minnesota, this 17 day of Feb, 2004.



WANG Jian-Ping



POWER OF ATTORNEY

Agency for Science, Technology and Research, assignee of the application for United States Letters Patent for Thin Film Magnetic Recording Media invented by CHEN Jingsheng; WANG Jian-Ping

☐ filed herewith, or
☐ having Serial No. _____, filed _____

a copy of the assignment of which is attached hereto, does hereby appoint as attorneys of record with full power of substitution and revocation, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Practitioners at Customer Number 26161.

Please send correspondence to:

Dr Rocky Tsao
Fish & Richardson P.C.
225 Franklin Street
Boston, Massachusetts 02110-2804
USA

I, the undersigned, declare that I am the (an) assignee of the above-identified application or, if the assignee is a corporation, partnership or other association, I am authorized to make this appointment on behalf of the assignee and I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Assignee's Name: Agency for Science, Technology and Research
Assignee's Address: 20-Biopolis Way, #07-01 Centros, Singapore 138668

Signature: _____

Date: 24 FEBRUARY 2004

Declarant's Name: _____

SURESAN SACHI

Declarant's Title: _____

DIRECTOR, LEGAL

AGENCY FOR SCIENCE, TECHNOLOGY & RESEARCH